

Regulation and Innovation in the Age of FinTech

22-23 March 2018

22 March, Mansion House, Walbrook, London EC4N 8BH

23 March, Herbert Smith, Exchange House, Primrose St, London EC2A 2EG

Morning Plenary Sessions Day1: Mansion House

| Day 1 | Title | Speaker(s) | Detail |
|-----------|--|--|---|
| 0800-0840 | Registration & Breakfast | | Mansion House, Walbrook, EC4N 8BH |
| 0845-0900 | Welcome Introduction | Sir Alan Yarrow, Lord Mayor Locum Tenens Mark Napier, Managing Director, FSD Africa | Introductions and agenda for the day |
| 0900-0925 | Keynote Speech: FinTech and Regulation: Challenging Opportunities | Jo Ann Barefoot, CEO Barefoot Innovation | Our speaker will highlight the unique characteristics, opportunities and risks in FinTech which mean regulators should take a proactive and risk-based approach. |
| 0930-1030 | Panel Discussion: Regulator-led Innovation Initiatives | Ivo Jenik, CGAP Patrick Saidu Conteh, Governor of Bank of Sierra Leone Wei Min Chin, Executive Director, Malaysia Securities Commission Philip Rowan, International Lead, CCAF | This panel will discuss the different approaches which regulators around the world are taking to promote innovation in their markets. How do they work? What have they learned? What would they change? |
| 1030-1050 | Coffee Break | | |
| 1050-1130 | Fireside Chat: FinTech and Financial Inclusion - The Kenyan Experience | Professor Njuguna Ndung'u, Former Governor of the Central Bank of Kenya (2007-2015) Luke Ombara, Director, Regulatory Policy & Strategy, Kenya CMA Gitau Mburu, FSD Kenya | In this fireside chat, we will learn from one of the earliest global regulators to support financial innovation and the integral role which they played in supporting financial inclusion in their country. |
| 1130-1230 | Panel Discussion: Regulating Innovation – The FinTechs' Perspective | Jacqueline Musiitwa, Chair, Executive Director, FSD Uganda Catherine Wines, Co-Founder, World Remit Val Yap, CEO, PolicyPal Robert Kaluza, Board Member, Billon Group Srini Sundaram, Co-Founder, Aire | In this session, FinTechs which have interacted with regulators' innovation initiatives will share their experiences and the opportunities and challenges which these have presented. |
| 1230-1315 | Networking Lunch | | |

Afternoon Workshop Sessions Day1: Mansion House

| Day 1 | Workshop Track 1 | Workshop Track 2 |
|-----------|--|---|
| 1315-1425 | <p>Conceptualisation Workshop: Regulatory & Financial Innovation: Exploring the Options</p> <p>Lead facilitator: Schan Duff, Global RegHub</p> <p>Co-Facilitators: Ivo Jenik, Finance Sector Specialist, CGAP Philip Rowan, CCAF/RegHub</p> <p>This workshop will explore the various options available to regulators seeking to promote financial innovation, and provide a framework for assessing these.</p> | <p>Conceptualisation Workshop: Regulatory & Financial Innovation: Skills, Resources & Capacity</p> <p>Lead facilitators: Simone di Castri, Director RegTech for Regulators Accelerator (R2A) Matt Grasser, Deputy Director, Inclusive Finance, BFA</p> <p>This workshop will assess the skills required to facilitate and deliver regulatory innovation activities such as regulatory sandboxes, FinTech teams and RegTech initiatives.</p> |

1425-1445

Coffee Break

| Day 1 | Workshop Track 1 | Workshop Track 2 |
|-----------|---|---|
| 1445-1600 | <p>Implementation Workshop: Regulatory Sandboxes</p> <p>Lead Facilitator: Philip Rowan, CCAF/RegHub</p> <p>Co-Facilitators: Schan Duff, RegHub Ivo Jenik, Financial Sector Specialist, CGAP Kieran Garvey, CCAF Mike Chapman, City of London</p> <p>This workshop will focus on the principles for building a sand-box, including legislation, tools, resourcing, safeguards and implementation strategies.</p> <p>It will also enable regulators to share their own insights on the benefits, risks and optimal approaches to regulatory sandboxes.</p> | <p>Implementation Workshop: RegTech for Regulators</p> <p>Lead Facilitators: Simone di Castri, Director RegTech for Regulators Accelerator (R2A) Matt Grasser, Deputy Director, Inclusive Finance, BFA</p> <p>Co-Facilitators: JoAnn Barefoot, CEO, Barefoot Innovation Ahmed Dermish, UNCDF</p> <p>This workshop will provide an introduction to some of the most promising technologies and tools to help regulators supervise, monitor and facilitate regulatory reporting.</p> <p>It will also enable regulators to share their own insights on the benefits, risks and optimal approaches to RegTech.</p> |

Morning Plenary Sessions Day2: Exchange House, EC2A 2EG

| Day 2 | Title | Speaker(s) | Detail |
|-----------|---|---|---|
| 0800-0840 | Registration & Breakfast | | Exchange House, EC2A 2EG |
| 0845-0900 | Welcome Introduction | Andrew Roberts, Partner, HSF Robert Wardrop, Director & Co-Founder, CCAF | Introduction and Agenda |
| 0900-0925 | Key Note: Regulation & Policy for FinTech Market Development | Julian Adams, Group Regulatory & Government Relation Director, Prudential | In this keynote speech, our speaker will share their insights into the array of tools and stimulation measures available to regulators to promote FinTech market development. |
| 0930-1030 | Panel Discussion: Deconstructing Open Banking | Chair , Imran Gulamhuseinwala, Trustee, Open Banking Implementation Entity Louise Beaumont, Co-Chair TechUK Open Banking Working Group Graham Wright, CEO MicroSave Simon Vans-Colina, Monzo Open Banking Lead | This session will explore the coming phenomenon of Open Banking. What are the benefits and risks for markets, firms and regulators? What are the key constituents and building blocks of what is required to facilitate open banking? |
| 1030-1050 | Coffee Break | | |
| 1050-1150 | Panel Discussion: RegTech: Tools & Tech for Regulators | Chair , Ahmed Dermish, UNCDF Subas Roy, Chair, International Association for RegTech Simone di Castri, Director, RegTech for Regulators Accelerator (R2A) Dragan Oremuš, CRT.hr | This panel will discuss some of the most promising technologies, tools and approaches to help regulators do their jobs, while also enabling firms to better meet their regulatory requirements. |
| 1150-1250 | Panel Discussion: Regulatory Implications of DLT, Virtual Currencies and ICOs | Chair , Keith Bear, Financial Markets, IBM Michel Rauchs, DLT & Crypto-Assets, CCAF Immaculate Motsi, Warwick University Raoul Lumb, Simons Muirhead & Burton Oliver Oram, Founder, ChainVine | This session will provide an introduction to Distributed Ledger Technology, digital currencies and ICOs and their implications for regulators. |
| 1250-1350 | Networking Lunch | | |

Afternoon Workshop Sessions Day2: Exchange House, EC2A 2EG

| Day 2 | Workshop Track 1 | Workshop Track 2 |
|-----------|---|--|
| 1350-1500 | <p>Post-Implementation Workshop: Communication & Measuring Impact</p> <p>Lead Facilitator: Gitau Mburu, Financial Sector Specialist FSD Kenya</p> <p>Co-Facilitator: Tania Ziegler, Senior Research Manager, CCAF</p> <p>This workshop will focus on how to manage communications with external stakeholders on regulating financial innovation, together with measuring the impact of supporting financial innovation.</p> <p>This will include how best to promote the support and services available to innovators and effective communications for risks arising from innovation</p> | <p>Post-Implementation Workshop: Facilitating Cross-Border Financial Innovation</p> <p>Lead Facilitator: Andrew Stalbaum, DfID Philip Rowan, CCAF/RegHub Kieran Garvey, CCAF</p> <p>Co-Facilitators: Barry Cooper, CENFRI</p> <p>This workshop will focus on identifying and mapping key issues hindering cross-border financial innovation.</p> <p>It will also identify areas for regulatory innovation cooperation through a range of mechanisms including MOUs, FinTech Bridges, joint sandboxes and industry associations.</p> |

Networking & Demos @CampusLondon - a Google Space, 4-5 Bonhill Street, Shoreditch, EC2A 4BX

1515: **Welcome from Google**

1520: **Demos & Networking**

1600-1645 Panel: **Impact, Inclusion and Innovation - Closing Session for Regulation & Innovation in the Age of FinTech**
Chair - Desne Masie, Economist, Wits School of Governance

1515-1700 Stuart Davis, Latham Watkins
Richard Maton, Financial Institution Network
Alpesh Doshi, Fintricity
Giselle Frederick, Zingr
Javed Khattak, Humaniq

1700 - **Close and thanks from FSDA, Joe Huxley**